

**LOCAL DEFORMATION RINGS FOR 2-ADIC  
REPRESENTATIONS OF  $G_{\mathbb{Q}_l}$ ,  $l \neq 2$ .**

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Let  $l$  and  $p$  be distinct primes. Let  $L/\mathbb{Q}_p$  be a finite extension with ring of integers  $\mathcal{O}$ , uniformiser  $\varpi$  and residue field  $k$ . Let  $F/\mathbb{Q}_l$  be a finite extension with absolute Galois group  $G_F$ , inertia group  $I_F$ , and wild inertia group  $P_F$ . Let  $\tilde{P}_F$  be the kernel of the maximal pro- $l$  quotient of  $I_F$ . Let  $q$  be the order of the residue field of  $F$ . We assume that  $L$  contains all  $(q^2 - 1)$ th roots of unity. Choose a pro-generator  $\sigma$  of  $I_F/\tilde{P}_F$  and  $\phi \in G_F/\tilde{P}_F$  lifting the arithmetic Frobenius element of  $G_F/I_F$ . Then we have the relation

$$(1) \quad \phi\sigma\phi^{-1} = \sigma^q.$$

If  $\bar{\rho} : G_F \rightarrow GL_2(k)$  is a continuous homomorphism, let  $R_{\bar{\rho}}^{\square}$  be the universal framed deformation ring for  $\bar{\rho}$  paramtrising lifts with coefficients in  $\mathcal{O}$ -algebras. By [Sho16a] Theorem 2.5,  $R_{\bar{\rho}}^{\square}$  is a reduced,  $\mathcal{O}$ -flat complete intersection ring of relative dimension 4 over  $\mathcal{O}$ .

If  $\tau : I_F \rightarrow GL_2(L)$  is a continuous semisimple representation that extends to  $G_F$ , let  $R_{\bar{\rho}}^{\square}(\tau)$  be the maximal reduced,  $p$ -torsion free quotient of  $R_{\bar{\rho}}^{\square}$  such that, for every  $\mathcal{O}$ -algebra homomorphism  $x : R_{\bar{\rho}}^{\square} \rightarrow \bar{L}$ , the corresponding representation  $\rho_x : G_F \rightarrow GL_2(\bar{L})$  satisfies  $(\rho_x|_{I_F})^{ss} \cong \tau$ .

The goal of this appendix is to prove:

**Theorem 0.1.** *For any  $\bar{\rho}$  and  $\tau$  as above, the ring  $R_{\bar{\rho}}^{\square}(\tau)$  is either Cohen–Macaulay or zero.*

If  $p > 2$ , then this is the content of section 5.5 of [Sho16b]. If  $p = 2$  and  $\bar{\rho}|_{\tilde{P}_F}$  is non-scalar, then the proof of proposition 5.1 of [Sho16b] shows that  $R_{\bar{\rho}}^{\square}$  is a completed tensor product of deformation rings of characters, all of whose irreducible components are formally smooth, and that  $R_{\bar{\rho}}^{\square}(\tau)$  is an irreducible component of  $R_{\bar{\rho}}^{\square}$ ; thus  $R_{\bar{\rho}}^{\square}(\tau)$  is formally smooth in this case. From now on, then, we assume that  $p = 2$  and that  $\bar{\rho}|_{\tilde{P}_F}$  is scalar; by twisting, we may and do assume that  $\bar{\rho}|_{\tilde{P}_F}$  is trivial. In this case, we may list the semisimple inertial types  $\tau$  for which  $R_{\bar{\rho}}^{\square}(\tau)$  may be non-zero. They are determined by the eigenvalues of  $\tau(\sigma)$ , which must be of 2-power order and either fixed or interchanged by raising to the power  $q$ . Writing  $a = v_2(q - 1)$  and  $b = v_2(q^2 - 1)$ , if  $R_{\bar{\rho}}^{\square}(\tau)$  is non-zero then either

- $\tau = \tau_{\zeta}$  is the inertial type in which the eigenvalues of  $\tau(\sigma)$  are both equal to an  $2^a$ th root of unity,  $\zeta$ ;
- $\tau = \tau_{\zeta_1, \zeta_2}$  is the inertial type in which the eigenvalues of  $\tau(\sigma)$  are equal to distinct  $2^a$ th roots of unity  $\zeta_1$  and  $\zeta_2$ ;
- $\tau = \tau_{\xi}$  is the inertial type in which the eigenvalues of  $\tau(\sigma)$  are equal to  $\xi$  and  $\xi^q$  for  $\xi$  an  $2^b$ th root of unity with  $\xi \neq \xi^q$  (equivalently, with  $\xi$  not an  $2^a$ th root of unity).

We also give a version with fixed determinant:

**Corollary 0.2.** *If  $\psi$  is any lift of  $\det \bar{\rho}$  to  $\mathcal{O}^\times$  such that  $\psi|_{I_F} = \det \tau$ , let  $R_{\bar{\rho}}^{\square, \psi}(\tau)$  be the universal framed deformation ring with determinant  $\psi$  and type  $\tau$ . Then  $R_{\bar{\rho}}^{\square, \psi}(\tau)$  is Cohen–Macaulay.*

*Proof.* By Theorem 0.1,  $R_{\bar{\rho}}^{\square}(\tau)$  is Cohen–Macaulay. If we impose a single equation additional  $\det \rho(\phi) = \psi(\phi)$ , then the ring will still be Cohen–Macaulay provided that  $\det \rho(\phi) - \psi(\phi)$  is a non-zerodivisor — in other words, that it doesn’t vanish on any irreducible components of  $\text{Spec } R_{\bar{\rho}}^{\square}(\tau)$ . This is the case, since the action of  $\mathbb{G}_m^\wedge$  on  $\text{Spec } R_{\bar{\rho}}^{\square}(\tau)$  given by making unramified twists preserves irreducible components but varies the determinant.  $\square$

Let  $\mathcal{X}$  be the affine  $\mathcal{O}$ -scheme whose  $R$  points, for an  $\mathcal{O}$ -algebra  $R$ , are pairs

$$\{(\Sigma, \Phi) \in GL_2(R) \times GL_2(R) : \Phi \Sigma = \Sigma^q \Phi\}.$$

Then  $\mathcal{X}$  is a reduced,  $\mathcal{O}$ -flat complete intersection of relative dimension 4 over  $\text{Spec } \mathcal{O}$  by the proof of Theorem 2.5 of [Sho16a]. Let  $\mathcal{A}$  be the coordinate ring of  $\mathcal{X}$ . We write  $\Sigma = \begin{pmatrix} 1+A & B \\ C & 1+D \end{pmatrix}$  and  $\Phi = \begin{pmatrix} P & Q \\ R & T-P \end{pmatrix}$ , so that  $\mathcal{A}$  is a quotient of

$$\mathcal{S} = \mathcal{O}[A, B, C, D, P, Q, R, T][(\det \Sigma)^{-1}, (\det \Phi)^{-1}].$$

For any continuous  $\bar{\rho} : G_F \rightarrow GL_2(k)$ , the pair of matrices  $\bar{\rho}(\sigma)$  and  $\bar{\rho}(\phi)$  give rise to a closed point of  $\mathcal{X}$ , and so a maximal ideal  $\mathfrak{m}$  of  $\mathcal{A}$ . Then  $R_{\bar{\rho}}^{\square} = \mathcal{A}_{\mathfrak{m}}^\wedge$ . If  $\mathcal{C}$  is a conjugacy class in  $GL_2(\bar{L})$ , then there is a unique irreducible component of  $\mathcal{X}$  such that, for a dense set of geometric points of that component, the corresponding matrix  $\Sigma$  has conjugacy class  $\mathcal{C}$ . This provides a bijection between the irreducible components of  $\mathcal{X}$  and the conjugacy classes of  $GL_2(\bar{L})$  that are preserved under the  $q$ -power map (by [Sho16a] Proposition 2.6). If  $\tau$  is one of the above inertial types then we write  $\mathcal{X}(\tau)$  for the union of those irreducible components corresponding to conjugacy classes with the same characteristic polynomial as  $\tau(\sigma)$ , with the reduced subscheme structure, and  $\mathcal{A}(\tau)$  for its coordinate ring. Note that, since  $\mathcal{X}$  is  $\mathcal{O}$ -flat and  $\mathcal{X}(\tau)$  is an irreducible component of  $\mathcal{X}$ ,  $\mathcal{X}(\tau)$  is also  $\mathcal{O}$ -flat, so that  $\mathcal{A}(\tau)$  is  $\varpi$ -torsion free.

**Lemma 0.3.** *If  $\tau = \tau_\zeta, \tau_{\zeta_1, \zeta_2}$ , or  $\tau_\xi$ , then  $\mathcal{A}(\tau)_{\mathfrak{m}}^\wedge = R_{\bar{\rho}}^{\square}(\tau)$ .*

*Proof.* Since  $\mathcal{A}$  is  $\mathcal{O}$ -flat and  $\mathcal{A}(\tau)$  is the quotient of  $\mathcal{A}$  by an intersection of minimal prime ideals, it is also  $\mathcal{O}$ -flat. Thus  $\mathcal{A}(\tau)_{\mathfrak{m}}^\wedge$  is also  $\mathcal{O}$ -flat, by flatness of localisation and completion. Since  $\mathcal{A}(\tau)$  is of finite type over a DVR it is Nagata by [Sta17, Tag 0335]. Since  $\mathcal{A}(\tau)$  is reduced, the completion  $\mathcal{A}(\tau)_{\mathfrak{m}}^\wedge$  is also reduced by [Sta17, Tag 07NZ]. The composite map  $\mathcal{A} \rightarrow R_{\bar{\rho}}^{\square} \rightarrow R_{\bar{\rho}}^{\square}(\tau)$  factors through a map  $\mathcal{A}(\tau) \rightarrow R_{\bar{\rho}}^{\square}(\tau)$ , since any function in  $\mathcal{A}$  that vanishes on all  $\bar{L}$ -points of type  $\tau$  must vanish in  $R_{\bar{\rho}}^{\square}(\tau)$  by definition. Thus we get a surjection  $\mathcal{A}(\tau)_{\mathfrak{m}}^\wedge = \mathcal{A}(\tau) \otimes_{\mathcal{A}} R_{\bar{\rho}}^{\square} \rightarrow R_{\bar{\rho}}^{\square}(\tau)$ . However, since  $\mathcal{A}(\tau)_{\mathfrak{m}}^\wedge$  is reduced and  $\mathcal{O}$ -torsion free, and has the property that every  $\bar{L}$ -point gives a Galois representation of type  $\tau$ , this map is an isomorphism by the definition of  $R_{\bar{\rho}}^{\square}(\tau)$ .  $\square$

Let  $\bar{\mathcal{S}} = \mathcal{S} \otimes_{\mathcal{O}} k$ ,  $\bar{\mathcal{A}} = \mathcal{A} \otimes_{\mathcal{O}} k$ , and  $\bar{\mathcal{X}} = \text{Spec } \bar{\mathcal{A}}$ . Then the irreducible components of  $\bar{\mathcal{X}}$  are in bijection with the conjugacy classes of  $GL_2(\bar{k})$  that are stable under the

$q$ -power map (again by [Sho16a] Proposition 2.6). Let  $\overline{\mathcal{X}}_1$  be the irreducible component corresponding to the trivial conjugacy class — this is just the locus where  $\Sigma = 1$  — and let  $\overline{\mathcal{X}}_N$  be that corresponding to the non-trivial unipotent conjugacy class (we give the irreducible components the reduced subscheme structure). Let  $I_1$  and  $I_N$  be the prime ideals of  $\overline{\mathcal{S}}$  cutting out  $\overline{\mathcal{X}}_1$  and  $\overline{\mathcal{X}}_N$ ; these correspond to minimal primes of  $\overline{\mathcal{A}}$ . If  $\tau$  is one of the above inertial types, then we write  $I(\tau)$  for the ideal of  $\overline{\mathcal{S}}$  cutting out  $\mathcal{A}(\tau) \otimes_{\mathcal{O}} k$ .

**Lemma 0.4.** *The ideals  $I_1$  and  $I_N$  have generators*

$$\begin{aligned} I_1 &= (A, B, C, D) \\ I_N &= (A^2 + BC, CQ + BR, T, A + D). \end{aligned}$$

*Proof.* The presentation for  $I_1$  is obvious. For  $I_N$ , the condition that  $\Sigma$  is unipotent gives  $A + D \in I_N$  and  $A^2 + BC \in I_N$ . If  $N = \Sigma - 1$ , then the relation  $\Phi\Sigma = \Sigma^q\Phi$  becomes  $\Phi N = qN\Phi = N\Phi$  (since we are working mod 2), which implies that  $CQ + BR = 0$ . At any closed point of  $\overline{\mathcal{X}}_N$  where  $N \neq 0$ , the eigenvalues of  $\Phi$  must be in the ratio  $1 : q = 1 : 1$ , and so  $T = 0$ . As such closed points are dense on  $\overline{\mathcal{X}}_N$ , we see that  $T \in I_N$ . Therefore

$$(A^2 + BC, CQ + BR, T, A + D) \subset I_N.$$

The ideal  $I = (A^2 + BC, CQ + BR, T, A + D)$  is prime of dimension 4; indeed,  $\mathcal{S}/I$  is isomorphic to a localisation of

$$\frac{k[A, B, C]}{(A^2 + BC)}[P, Q, R]/(CQ + BR)$$

which is easily seen to be a 4-dimensional domain. Thus  $I \subset I_N$  are prime ideals of  $\overline{\mathcal{S}}$  of the same dimension, and so must be equal.  $\square$

**Proposition 0.5.** *Let  $\tau = \tau_\xi$ . Then  $I(\tau) = I_N$ .*

*Proof.* Write  $\eta = \xi + \xi^q - 2$ . The condition that  $\Sigma$  has characteristic polynomial  $(X - \xi)(X - \xi^q)$  shows that, on  $\mathcal{X}(\tau)$ , we have the equations

$$\begin{aligned} A + D &= \eta \\ A(A - \eta) + BC &= \eta. \end{aligned}$$

Using the first of these, we replace  $D$  by  $\eta - A$  everywhere. Now, if  $x$  is an  $\overline{L}$ -point of  $\mathcal{X}(\tau)$  corresponding to a pair of matrices  $(\Sigma_x, \Phi_x)$ , then  $\Phi_x$  exchanges the  $\xi$  and  $\xi^q$  eigenspaces of  $\Sigma_x$  and so must have trace zero. Therefore on  $\mathcal{X}(\tau)$  we have the equation

$$T = 0.$$

Lastly, by the Cayley–Hamilton theorem, and the fact that

$$X^q \equiv \xi + \xi^q - X \pmod{(X - \xi)(X - \xi^q)},$$

we see that  $\Sigma^q = \begin{pmatrix} 1 + \eta - A & -B \\ -C & 1 + A \end{pmatrix}$  on  $\mathcal{X}(\tau)$ . Equating matrix entries in the relation  $\Phi\Sigma = \Sigma^q\Phi$ , and noting that  $T = 0$ , we obtain one new equation

$$(2A - \eta)P + BR + CQ = 0.$$

Thus, letting

$$J = (A + D, T, A(A - \eta) + BC - \eta, (2A - \eta)P + BR + CQ)$$

we obtain a surjection  $\mathcal{S}/J \twoheadrightarrow \mathcal{A}(\tau)$ , and therefore a surjection

$$\overline{\mathcal{S}}/J \twoheadrightarrow \overline{\mathcal{A}}(\tau).$$

As  $\eta$  is divisible by  $\varpi$ , we see that  $J + (\varpi) = I_N$ , and so we have a surjection  $\overline{\mathcal{S}}/I_N \twoheadrightarrow \overline{\mathcal{A}}(\tau)$ . This must be an isomorphism since  $\overline{\mathcal{S}}/I_N$  is a 4-dimensional domain and  $\overline{\mathcal{A}}(\tau)$  is a non-zero 4-dimensional ring. Therefore  $I_N = I(\tau)$  as required.  $\square$

For the remaining types the following lemmas will be useful. If  $R$  is a noetherian ring,  $\mathfrak{p}$  is a minimal prime of  $R$ , and  $M$  is a finitely-generated  $R$ -module, let  $e_R(M, \mathfrak{p}) = l_{R_{\mathfrak{p}}}(M_{\mathfrak{p}})$  (this is a special case of the Hilbert–Samuel multiplicity).

**Lemma 0.6.** *Let  $f : R \rightarrow S$  be a surjection of equidimensional rings of the same dimension, and suppose that  $R$  is S1 and Nagata. Let  $\mathfrak{p}_1, \dots, \mathfrak{p}_n$  be the minimal primes of  $R$ . Suppose that, for  $i = 1, \dots, n$ , there is a maximal ideal  $\mathfrak{m}_i$  of  $S$  such that  $\mathfrak{p}_i \subset \mathfrak{m}_i$  but  $\mathfrak{p}_j \not\subset \mathfrak{m}_i$  for  $i \neq j$ . If, for each  $i$ , we have*

$$e_R(R, \mathfrak{p}_i) \leq e_{S_{\mathfrak{m}_i}^{\wedge}}(S_{\mathfrak{m}_i}^{\wedge}, \mathfrak{q}_i)$$

for some minimal prime  $\mathfrak{q}_i$  of  $S_{\mathfrak{m}_i}^{\wedge}$ , then  $f$  is an isomorphism.

**Remark 0.7.** For those primes  $\mathfrak{p}_i$  such that  $e_R(R, \mathfrak{p}_i) = 1$  — which is all of them if  $R$  is reduced — the required inequality is implied simply by the existence of the  $\mathfrak{m}_i$ .

*Proof.* Since  $R$  is S1, every associated prime of  $S$  is minimal and so, by [Sta17, Tag 0311], it is enough to show that  $f$  induces an isomorphism  $f_{\mathfrak{p}_i} : R_{\mathfrak{p}_i} \rightarrow S_{\mathfrak{p}_i}$  for each  $i$ . Since  $f$  is surjective and  $R_{\mathfrak{p}_i}$  is artinian, it is enough to show that  $e_R(R, \mathfrak{p}_i) \leq e_R(S, \mathfrak{p}_i)$ . Let  $i \in \{1, \dots, n\}$ . Choose  $\mathfrak{m}_i$  and  $\mathfrak{q}_i$  as in the hypotheses of the lemma. It is enough to show that for each  $i$ ,

$$e_R(S, \mathfrak{p}_i) = e_{S_{\mathfrak{m}_i}^{\wedge}}(S_{\mathfrak{m}_i}^{\wedge}, \mathfrak{q}_i).$$

Since  $\mathfrak{m}_i$  contains a unique minimal prime of  $R$ , after localising at  $\mathfrak{m}_i$  we may assume that  $R \rightarrow S$  is a local map of local rings, and that  $\mathfrak{p}_i$  is the unique minimal prime of  $R$ , and drop  $i$  from the notation. The hypothesis that  $R$  and  $S$  are equidimensional of the same dimension implies that  $\mathfrak{p}S$  is the unique minimal prime of  $S$ , which we also denote by  $\mathfrak{p}$ . We have  $e_R(S, \mathfrak{p}) = e_S(S, \mathfrak{p})$  since both are just the length of  $S_{\mathfrak{p}}$ . Since  $S \rightarrow S^{\wedge}$  is flat and  $S^{\wedge}/\mathfrak{p} = (S/\mathfrak{p})^{\wedge}$  is reduced because  $R$  (and hence  $S$ ) is Nagata, [Sta17, Tag 02M1] implies that  $e_S(S, \mathfrak{p}) = e_{S^{\wedge}}(S^{\wedge}, \mathfrak{q})$ . So

$$e_R(S, \mathfrak{p}) = e_S(S, \mathfrak{p}) = e_{S^{\wedge}}(S^{\wedge}, \mathfrak{q}) \geq e_R(R, \mathfrak{p})$$

as required.  $\square$

The S1 condition holds, in particular, if  $R$  is reduced or Cohen–Macaulay, while the Nagata condition holds if  $R$  is of finite type over a field or DVR.

**Proposition 0.8.** *Let  $\tau = \tau_{\zeta}$ . Then*

$$\begin{aligned} I(\tau) &= I_N \cap I_1 \\ &= (A + D, AT, BT, CT, A^2 + BC, BR + CQ). \end{aligned}$$

*Proof.* For simplicity, we twist so that  $\zeta = 1$ . Write  $N = \Sigma - 1 = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$ . On  $\mathcal{A}(\tau)$ ,  $\Sigma$  has characteristic polynomial  $(X - 1)^2$ , and so the equations

$$\begin{aligned} A + D &= 0 \\ A^2 + BC &= 0 \end{aligned}$$

hold on  $\mathcal{A}(\tau)$ . Moreover, since  $(\Sigma - 1)^2 = 0$  on  $\mathcal{A}(\tau)$ , by the Cayley–Hamilton theorem we have that  $\Sigma^q = 1 + q(\Sigma - 1) = 1 + qN$  on  $\mathcal{A}(\tau)$ . The equation  $\Phi\Sigma = \Sigma^q\Phi$  becomes  $\Phi N = qN\Phi$ , and comparing matrix entries we get equations

$$\begin{aligned} qBR - CQ + (q - 1)AP &= 0 \\ (q + 1)QA + B(qT - (q + 1)P) &= 0 \\ (q + 1)RA + C(T - (q + 1)P) &= 0 \\ qCQ - BR + (q - 1)A(P - T) &= 0. \end{aligned}$$

Summing the first and fourth of these gives  $(q - 1)(BR + CQ + A(2P - T)) = 0$ ; since  $\mathcal{A}(\tau)$  is  $(q - 1)$ -torsion free, we deduce that

$$BR + CQ + A(2P - T) = 0$$

in  $\mathcal{A}(\tau)$  and can replace the fourth of the above equations by this.

The ideal cutting out  $\mathcal{A}(\tau)$  therefore contains the ideal

$$\begin{aligned} J = (A + D, A^2 + BC, qBR - CQ + (q - 1)AP, (q + 1)QA + B(qT - (q + 1)P), \\ (q + 1)RA + C(T - (q + 1)P), CQ + BR + A(2P - T)). \end{aligned}$$

Now, the image of  $J$  in  $\bar{\mathcal{S}}$  is

$$(A + D, A^2 + BC, BR + CQ, BT, CT, BR + CQ + AT)$$

which is equal to  $(A + D, A^2 + BC, BR + CQ) + I_1 \cap (T) = I_N \cap I_1$ . Therefore there is a surjection

$$f : \bar{\mathcal{S}} / (I_N \cap I_1) \twoheadrightarrow \bar{\mathcal{A}}(\tau).$$

Write  $\tilde{R} = \bar{\mathcal{S}} / (I_N \cap I_1)$ . Then  $\tilde{R}$  is reduced with two minimal primes, which we also call  $I_N$  and  $I_1$ . Let  $\rho_1 : G_F \rightarrow GL_2(\mathcal{O})$  be diagonal unramified with distinct eigenvalues of Frobenius, and let  $\rho_N : G_F \rightarrow GL_2(\mathcal{O})$  send  $\sigma \mapsto \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  and  $\phi \mapsto \begin{pmatrix} q & 0 \\ 0 & 1 \end{pmatrix}$ . Let  $\mathfrak{m}_1$  and  $\mathfrak{m}_N$  be the corresponding maximal ideals of  $\bar{\mathcal{A}}(\tau)$ . Then  $I_1 \subset \mathfrak{m}_1$ ,  $I_N \not\subset \mathfrak{m}_1$ ,  $I_1 \not\subset \mathfrak{m}_N$  and  $I_N \subset \mathfrak{m}_N$ , so  $f$  is an isomorphism by the remark following lemma 0.6.  $\square$

**Proposition 0.9.** *Let  $\tau = \tau_{\zeta_1, \zeta_2}$ . Then*

$$I(\tau) = (A + D, BT, CT, CQ + BR, A^2 + BC).$$

*Proof.* Write  $\mu = \zeta_1 + \zeta_2 - 2$ . The condition that  $\Sigma$  has characteristic polynomial  $(X - \zeta_1)(X - \zeta_2)$  is equivalent to the equations

$$\begin{aligned} A + D &= \mu \\ A(A - \mu) + BC &= \mu. \end{aligned}$$

As  $X^q \equiv X \pmod{(X - \zeta_1)(X - \zeta_2)}$ , we have by the Cayley–Hamilton theorem that  $\Sigma^q = \Sigma$  on  $\mathcal{A}(\tau)$ . The equation  $\Phi\Sigma = \Sigma^q\Phi$  therefore becomes  $\Phi\Sigma = \Sigma\Phi$ , and comparing matrix entries we get three equations (the fourth being redundant):

$$\begin{aligned} BR - CQ &= 0 \\ Q(2A - \mu) &= B(2P - T) \\ R(2A - \mu) &= C(2P - T). \end{aligned}$$

Let

$$J = (A + D - \mu, A(A - \mu) + BC - \mu, BR - CQ, Q(2A - \mu) - B(2P - T), R(2A - \mu) - C(2P - T)).$$

Let  $I$  be the image of  $J$  in  $\overline{\mathcal{S}}$ , so that

$$I = (A + D, BT, CT, CQ + BR, A^2 + BC).$$

We have shown that there is a surjection  $\mathcal{S}/J \twoheadrightarrow \mathcal{A}(\tau)$ , and therefore there is a surjection  $f : \overline{\mathcal{S}}/I \rightarrow \overline{\mathcal{A}}(\tau)$ . We have to show that  $f$  is an isomorphism. Write  $\overline{R} = \overline{\mathcal{S}}/I$ .

Then (see the proof of corollary 0.10 below)  $\overline{\mathcal{S}}/I$  is Cohen–Macaulay, with minimal primes  $I_1$  and  $I_N$ , and it is easy to see that  $e_{\overline{R}}(\overline{R}, I_N) = 1$  while  $e_{\overline{R}}(\overline{R}, I_1) = 2$ .

Let  $\rho_1 : G_F \rightarrow GL_2(\mathcal{O})$  be diagonal such that the eigenvalues of  $\rho_1(\sigma)$  are  $\zeta_1$  and  $\zeta_2$ , and the eigenvalues of  $\rho_1(\phi)$  are distinct modulo  $\varpi$ . Let  $\rho_N : G_F \rightarrow GL_2(\mathcal{O})$  send  $\sigma \mapsto \begin{pmatrix} \zeta_1 & 1 \\ 0 & \zeta_2 \end{pmatrix}$  and  $\phi \mapsto \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ . Let  $\mathfrak{m}_1$  and  $\mathfrak{m}_N$  be the corresponding maximal ideals of  $\overline{\mathcal{A}}(\tau)$ . Then  $I_1 \subset \mathfrak{m}_1$ ,  $I_N \not\subset \mathfrak{m}_1$ ,  $I_1 \not\subset \mathfrak{m}_N$  and  $I_N \subset \mathfrak{m}_N$ . By [Sho16b] Proposition 5.3, which remains valid when  $p = 2$ ,  $R_{\rho_1}^{\square}(\tau)$  is formally smooth over

$$\frac{\mathcal{O}[[X - 1]]}{(X - \zeta_1)(X - \zeta_2)}.$$

Therefore  $R_{\rho_1}^{\square}(\tau) \otimes k$  has a unique minimal prime  $\mathfrak{q}$  and its multiplicity is 2. By lemmas 0.3 and 0.6,  $f$  is an isomorphism.  $\square$

**Corollary 0.10.** *(of propositions 0.5, 0.8 and 0.9) For  $\tau = \tau_{\xi}$ ,  $\tau_{\zeta}$ , or  $\tau_{\zeta_1, \zeta_2}$ ,  $\mathcal{A}(\tau)$  is Cohen–Macaulay.*

*Proof.* Since  $\varpi$  is a regular element of  $\mathcal{A}(\tau)$ , it suffices to prove that  $\overline{\mathcal{A}}(\tau)$  is Cohen–Macaulay. This can easily be checked in magma; we sketch an alternative proof by hand. If  $\tau = \tau_{\xi}$ , then by proposition 0.5,  $I(\tau) = I_N$ . But  $\overline{\mathcal{S}}/I_N$  is a complete intersection ring of dimension 4, and therefore is Cohen–Macaulay. If  $\tau = \tau_{\zeta}$ , then by proposition 0.8,  $I(\tau_{\xi}) = I_1 \cap I_N$ . Now,  $\overline{\mathcal{S}}/I_1$  and  $\overline{\mathcal{S}}/I_N$  are Cohen–Macaulay of dimension 4 (the latter by the previous case), while  $\overline{\mathcal{S}}/(I_1 + I_N)$  is regular, and so Cohen–Macaulay, of dimension 3. By exercise 18.13 of [Eis95],  $\overline{\mathcal{S}}/(I_1 \cap I_N)$  is also Cohen–Macaulay. Finally, if  $\tau = \tau_{\zeta_1, \zeta_2}$  then by proposition 0.9,  $I(\tau) = (A + D, A^2 + BC, BR + CQ, BT, CT)$ . Let  $I = I(\tau)$ . Since  $I + (AT) = I_1 \cap I_N$  and  $AT \cdot I_1 = 0$ , there is an exact sequence of  $\overline{\mathcal{S}}/I$ -modules

$$\overline{\mathcal{S}}/I_1 \xrightarrow{AT} \overline{\mathcal{S}}/I \longrightarrow \overline{\mathcal{S}}/(I_1 \cap I_N) \rightarrow 0.$$

The first map must be injective, since  $I_1$  is prime and  $e_{\overline{\mathcal{S}}/I}(\overline{\mathcal{S}}/I, I_1) = 2 > 1 = e_{\overline{\mathcal{S}}/I}(\overline{\mathcal{S}}/(I_1 \cap I_N), I_1)$ . Since we have shown that  $\overline{\mathcal{S}}/I_1$  and  $\overline{\mathcal{S}}/(I_1 \cap I_N)$  are maximal Cohen–Macaulay modules over  $\overline{\mathcal{S}}/I$ , so is  $\overline{\mathcal{S}}/I$  (by [Yos90] Proposition 1.3).  $\square$

Since  $R_p^\square(\tau)$  is a completion of  $\mathcal{A}(\tau)$  by lemma 0.3, and a completion of a Cohen–Macaulay ring is Cohen–Macaulay (by [Sta17, Tag 07NX]), we obtain Theorem 0.1.

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